



λ' -optimal digraphs [☆]

Shiying Wang*, Shangwei Lin

School of Mathematical Sciences, Shanxi University, Taiyuan 030006, People's Republic of China

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ABSTRACT

Restricted arc-connectivity is a more refined network reliability index than arc-connectivity. In this paper, we first introduce the concept of minimum arc-degree and show for many digraphs, the minimum arc-degree is an upper bound on the restricted arc-connectivity. Next we call a strongly connected digraph a λ' -optimal digraph if its restricted arc-connectivity is equal to its minimum arc-degree. Finally, we give some sufficient conditions for a digraph to be λ' -optimal.

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1. Terminology and introduction

For graph-theoretical terminology and notation not defined here we follow [4]. We only consider finite (di)graphs without loops and multiple edges (arcs). Let G be a graph. The degree $d(v)$ of a vertex v in G is the number of vertices adjacent to v and the edge degree $\xi(e)$ of an edge $e = uv$ in G is $d(u) + d(v) - 2$. Let $\xi = \xi(G)$ denote the minimum edge degree in G . Let $D = (V, A)$ be a digraph with vertex set $V(D)$ and arc set $A(D)$. For a vertex v in D , its out-neighborhood is $N^+(v) = N_D^+(v) = \{u \in V(D) : vu \in A(D)\}$, its out-degree is $d^+(v) = d_D^+(v) = |N^+(v)|$. The minimum out-degree of D is $\delta^+(D) = \min\{d^+(x) : x \in V(D)\}$. The in-neighborhood $N^-(v)$, the in-degree $d^-(v)$ of v and the minimum in-degree $\delta^-(D)$ of D are defined analogously. The minimum degree of D is $\delta(D) = \min\{\delta^+(D), \delta^-(D)\}$. For a pair X, Y of nonempty vertex sets of D , we define $(X, Y) = \{xy \in A(D) : x \in X, y \in Y\}$. If $Y = \bar{X} = V(D) \setminus X$, we write $\partial^+(X)$ or $\partial^-(Y)$ instead of (X, Y) . Usually, abbreviate $\partial^+(\{x\})$ and $\partial^-(\{x\})$ to $\partial^+(x)$ and $\partial^-(x)$, respectively. Clearly, $d^+(x) = |\partial^+(x)|$ and $d^-(x) = |\partial^-(x)|$. If $C_g = u_1u_2 \dots u_gu_1$ is a shortest cycle of D , then let $\xi(C_g) = \min\{\sum_{i=1}^g d^+(u_i) - g$,

$\sum_{i=1}^g d^-(u_i) - g\}$, and $\xi(D) = \min\{\xi(C_g) : C_g \text{ is a shortest cycle of } D\}$.

A processor interconnection network or a communications network is conveniently modeled by a graph $D = (V, E)$ or a digraph $D = (V, A)$, in which the vertex set V corresponds to processors or switching elements, and the edge set E or the arc set A corresponds to communication links. One fundamental consideration in the design of networks is reliability. When studying network reliability, one often considers a network model [6] whose vertices are perfectly reliable while edges or arcs may fail independently with the same probability $\rho \in (0, 1)$. An edge (arc)-cut of a (strongly) connected (di)graph D is a set of edges (arcs) whose removal disconnects D . The edge (arc)-connectivity $\lambda = \lambda(D)$ is defined as the minimum cardinality over all edge (arc)-cuts of D . Let m_i be the number of edge (arc)-cuts of size i . Then the probability of D being (strongly) connected is

$$R(D; \rho) = 1 - \sum_{i=\lambda}^{\varepsilon} m_i \rho^i (1 - \rho)^{\varepsilon - i},$$

where ε is the number of edges (arcs) of D . The polynomial $R(D; \rho)$ is called the all-terminal reliability of D . Clearly, the larger $R(D; \rho)$ is, the more reliable the network is. But in general, to determine $R(D; \rho)$, i.e., to determine every m_i , is NP-hard [3,9]. When ρ is sufficiently small, the maximum of $R(D; \rho)$ can be obtained by max-

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* Corresponding author.

E-mail address: shiying@sxu.edu.cn (S. Wang).

imizing λ first and then minimizing $m_\lambda, m_{\lambda+1}, \dots, m_\varepsilon$ sequentially [12].

To maximize $\lambda(D)$ and minimize m_λ , Bauer et al. [5] defined the super- λ (di)graphs. In order to estimate more precisely the reliability of networks, Esfahanian and Hakimi [6] introduced the concept of restricted edge-connectivity. A set of edges S in a connected graph G is called a restricted edge cut if $G - S$ is disconnected and contains no isolated vertex. If such an edge cut exists, then the restricted edge connectivity of G , denoted by $\lambda' = \lambda'(G)$, is defined to be the minimum number of edges over all restricted edge cuts of G . A connected graph G is called λ' -connected if $\lambda'(G)$ exists. Esfahanian and Hakimi [6] showed that each connected graph G of order $n \geq 4$ except a star $K_{1,n-1}$ is λ' -connected and satisfies $\lambda(G) \leq \lambda'(G) \leq \xi(G)$. A λ' -connected graph G is called a λ' -optimal graph if $\lambda'(G) = \xi(G)$.

Recently, as a generalization of restricted edge-connectivity to digraphs, the concept of restricted arc-connectivity was introduced by Volkmann [11]. Let D be a strongly connected digraph. An arc subset S of D is a restricted arc-cut of D if $D - S$ has a non-trivial strong component D_1 , that means a strong component with order at least 2, such that $D - V(D_1)$ contains an arc. The restricted arc-connectivity $\lambda'(D)$ is the minimum cardinality over all restricted arc-cuts of D . A strongly connected digraph D is called λ' -connected, if $\lambda'(D)$ exists. In the same paper, Volkmann proved that each strong digraph D of order $n \geq 4$ and girth $g = 2$ or $g = 3$ except some families of digraphs is λ' -connected and satisfies $\lambda(D) \leq \lambda'(D) \leq \xi(D)$.

Investigations on the restricted edge-connectivity of graphs were made by many authors, for example, by [1,2,7,8,10,13]. However, closely related results on restricted arc-connectivity have received little attention. In this paper, we introduce the concept of λ' -optimal digraphs, and show some sufficient conditions for a digraph to be λ' -optimal.

2. The minimum arc-degree of a digraph

A digraph D is called acyclic if it has no cycle. Acyclic digraphs form a well studied family of digraphs due to the following important property.

Proposition 2.1. (See [4].) *Every acyclic digraph has a vertex of in-degree zero as well as a vertex of out-degree zero.*

The strong components of a digraph D can be labeled D_1, \dots, D_t such that there is no arc from D_j to D_i unless $j < i$ [4]. We call such an ordering an acyclic ordering of the strong components of D .

Let D be a digraph. If xy is an arc with $yx \notin A(D)$, then call $\xi'(xy) = \min\{d^+(x) + d^+(y) - 1, d^-(x) + d^-(y) - 1, d^+(y) + d^-(x), d^+(x) + d^-(y) - 1\}$ the arc-degree of xy . If xy is an arc with $yx \in A(D)$, then call $\xi'(xy) = \min\{d^+(x) + d^+(y) - 2, d^-(x) + d^-(y) - 2, d^+(y) + d^-(x) - 1, d^+(x) + d^-(y) - 1\}$ the arc-degree of xy . The minimum arc-degree of D is $\xi'(D) = \min\{\xi'(xy) : xy \in A(D)\}$.

We shall show that for many digraphs, the minimum arc-degree is an upper bound on the restricted arc-connectivity. This requires the following lemma.

Lemma 2.1. *Let D be a strongly connected digraph with $\delta^+(D) \geq 3$ or $\delta^-(D) \geq 3$ and let xy be an arc of D . Then $\partial^+(\{x, y\}), \partial^-(\{x, y\}), \partial^-(x) \cup \partial^+(y)$, and $\partial^+(x) \cup \partial^-(y)$ are restricted arc-cuts of D .*

Proof. Let S be one of $\partial^+(\{x, y\}), \partial^-(\{x, y\}), \partial^-(x) \cup \partial^+(y)$, and $\partial^+(x) \cup \partial^-(y)$ and let $D' = D - S$. Clearly, either x and y are two strong components of D' or $D[\{x, y\}]$ is a 2-cycle and so is a strong component of D' . Suppose that $D'' = D - \{x, y\}$ is an acyclic digraph. Then, by Proposition 2.1, there exist $u, v \in V(D'')$ such that $d_{D''}^-(u) = 0$ and $d_{D''}^+(v) = 0$. This implies that $d_D^-(u) \leq 2$ and $d_D^+(v) \leq 2$, contradicting the assumption that $\delta^+(D) \geq 3$ or $\delta^-(D) \geq 3$. Therefore, D'' contains a non-trivial strong component D_1 . Clearly, D_1 is also a strong component of D' and $D - V(D_1)$ contains the arc xy . By definition, S is a restricted arc-cut of D . The proof is complete. \square

Theorem 2.1. *Let D be a strongly connected digraph with $\delta^+(D) \geq 3$ or $\delta^-(D) \geq 3$. Then D is λ' -connected and satisfies $\lambda'(D) \leq \xi'(D)$.*

Proof. By Lemma 2.1, for an arbitrary arc xy in D , $\partial^+(\{x, y\}), \partial^-(\{x, y\}), \partial^-(x) \cup \partial^+(y)$, and $\partial^+(x) \cup \partial^-(y)$ are restricted arc-cuts, which implies that D is λ' -connected and $\lambda'(D) \leq \min\{|\partial^+(\{x, y\})|, |\partial^-(\{x, y\})|, |\partial^-(x) \cup \partial^+(y)|, |\partial^+(x) \cup \partial^-(y)|\}$. If $yx \notin A(D)$, then $|\partial^+(\{x, y\})| = d^+(x) + d^+(y) - 1$, $|\partial^-(\{x, y\})| = d^-(x) + d^-(y) - 1$, $|\partial^-(x) \cup \partial^+(y)| = d^-(x) + d^+(y)$, and $|\partial^+(x) \cup \partial^-(y)| = d^+(x) + d^-(y) - 1$. If $yx \in A(D)$, then $|\partial^+(\{x, y\})| = d^+(x) + d^+(y) - 2$, $|\partial^-(\{x, y\})| = d^-(x) + d^-(y) - 2$, $|\partial^-(x) \cup \partial^+(y)| = d^-(x) + d^+(y) - 1$, and $|\partial^+(x) \cup \partial^-(y)| = d^+(x) + d^-(y) - 1$. Therefore, $\lambda'(D) \leq \xi'(xy)$. Combining this with the arbitrariness of xy , it follows that $\lambda'(D) \leq \xi'(D)$. \square

By definition, $\xi'(D) \leq \xi(D)$ for many digraphs D , for example, for all the digraphs D with $\delta(D) \geq 3$. In this sense, $\xi'(D)$ is a better upper bound on $\lambda'(D)$ than $\xi(D)$. Similar to the definition of λ' -optimal graphs, a λ' -connected digraph D is called λ' -optimal if $\lambda'(D) = \xi'(D)$.

3. Sufficient conditions for λ' -optimal digraphs

It is well known that each minimum arc-cut has the form $\partial^+(X)$, where X is a subset of $V(D)$. The example given below shows that there exist some digraphs without minimum restricted arc-cut of the form $\partial^+(X)$.

Example 3.1. Let H be a complete digraph with $V(H) = \{x_1, x_2, \dots, x_p\}$, where $p \geq 4$. The digraph D' is defined as the disjoint union of H and 2 additional vertices u, v such that for each $i = 1, 2, \dots, p$, x_i dominates v and is dominated by u . Let $D = (V(D'), A(D') \cup \{uv, vx_1, x_1u\})$. Clearly, $\{vx_1, x_1u\}$ is a restricted arc-cut of D . Let S be a subset of $A(D)$ with $|S| \leq 2$. If $S \neq \{vx_1, x_1u\}$, then either $D - S$ is strong or $D - S$ has a strong component with order $|V(D)| - 1$, which implies that S is not a restricted arc-cut of D . Therefore, $\{vx_1, x_1u\}$ is the unique minimum restricted arc-cut of D , which cannot be written as $\partial^+(X)$ for any $X \subseteq V(D)$.

Theorem 3.1. Let D be a λ' -connected digraph with $\lambda'(D) \leq \xi'(D)$. If D has no minimum restricted arc-cut of the form $\partial^+(X)$, where X is a subset of $V(D)$, then D is λ' -optimal.

Proof. Let S be a minimum restricted arc-cut of D and let D_1, D_2, \dots, D_t be an acyclic ordering of the strong components of $D' = D - S$. Since S is a restricted arc-cut, there exists a non-trivial strong component D_j of D' . If $D[\bigcup_{i=1}^{j-1} V(D_i)]$ contains an arc, then let $X = \bigcup_{i=j}^t V(D_i)$. Noting that there is no arc from $\bigcup_{i=j}^t V(D_i)$ to $\bigcup_{i=1}^{j-1} V(D_i)$ in D' , we have $\partial^+(X) \subseteq S$. Clearly, $\partial^+(X)$ is also a restricted arc-cut. It follows that $S = \partial^+(X)$, a contradiction. Similarly, if $D[\bigcup_{i=j+1}^t V(D_i)]$ contains an arc, then let $X = \bigcup_{i=j+1}^t V(D_i)$, we have $S = \partial^+(X)$, a contradiction again. Therefore, both $D[\bigcup_{i=1}^{j-1} V(D_i)]$ and $D[\bigcup_{i=j+1}^t V(D_i)]$ are empty, which implies that D_j is the unique non-trivial strong component of D' . Combining this with the fact that S is a restricted arc-cut, $D - V(D_j)$ contains an arc with one end, say u , in $\bigcup_{i=1}^{j-1} V(D_i)$ and the other, say v , in $\bigcup_{i=j+1}^t V(D_i)$. Then $\partial^+(v) \cup \partial^-(u) \subseteq S$. It is easy to see that $\partial^+(v) \cup \partial^-(u)$ is a restricted arc-cut and so $S = \partial^+(v) \cup \partial^-(u)$. It follows that $\lambda'(D) = |S| = |\partial^+(v) \cup \partial^-(u)| \geq \xi'(D)$. Combining this with the assumption $\lambda'(D) \leq \xi'(D)$, we have $\lambda'(D) = \xi'(D)$. Therefore, D is λ' -optimal. The proof is complete. \square

A simple, but very useful sufficient condition for a digraph to be λ' -optimal is given as follows.

Corollary 3.1. Let D be a strongly connected digraph with $\delta^+(D) \geq 3$ or $\delta^-(D) \geq 3$ and let $S = \partial^+(X)$ be a minimum restricted arc-cut of D . If there exists an arc $x'x''$ in $D[X]$ such that $|N^+(x) \cap \bar{X}| \geq 2$ for any $x \in X \setminus \{x', x''\}$ or there exists an arc $y'y''$ in $D[\bar{X}]$ such that $|N^-(y) \cap X| \geq 2$ for any $y \in \bar{X} \setminus \{y', y''\}$, then D is λ' -optimal.

Proof. By reason of symmetry we only prove the case that there exists an arc $x'x''$ in $D[X]$ such that for any $x \in X \setminus \{x', x''\}$, $|N^+(x) \cap \bar{X}| \geq 2$. The hypotheses imply that $\xi'(D) \leq |\partial^+(\{x', x''\})| = |(\{x', x''\}, X \setminus \{x', x''\})| + |(\{x', x''\}, \bar{X})| \leq 2|X \setminus \{x', x''\}| + |(\{x', x''\}, \bar{X})| \leq \sum_{x \in X \setminus \{x', x''\}} |N^+(x) \cap \bar{X}| + |(\{x', x''\}, \bar{X})| = |X \setminus \{x', x''\}| + |(\{x', x''\}, \bar{X})| = |X \setminus \bar{X}| + |S| = \lambda'(D)$. By Theorem 2.1, we have $\lambda'(D) \leq \xi'(D)$. Therefore, $\lambda'(D) = \xi'(D)$, which implies that D is λ' -optimal. \square

Theorem 3.2. Let D be a digraph with order $n \geq 4$. If $|N^+(u) \cap N^-(v)| \geq 3$ for all pairs of vertices u, v with $uv \notin A(D)$, then D is λ' -optimal.

Proof. Clearly, D is a strong digraph with $\delta(D) \geq 3$. By Theorem 2.1, D is λ' -connected and satisfies $\lambda'(D) \leq \xi'(D)$. Suppose, on the contrary, that D is not λ' -optimal, that is, $\lambda'(D) < \xi'(D)$. Then, by Theorem 3.1, we can assume that there exists $X \subseteq V(D)$ such that $S = \partial^+(X)$ is a minimum restricted arc-cut. Let $X_i = \{x \in X : |N^+(x) \cap \bar{X}| = i\}$, $\bar{X}_i = \{y \in \bar{X} : |N^-(y) \cap X| = i\}$, $i = 0, 1$, and let $X_2 = \{x \in X : |N^+(x) \cap \bar{X}| \geq 2\}$, $\bar{X}_2 = \{y \in \bar{X} : |N^-(y) \cap X| \geq 2\}$.

Claim 1. Either $X_0 = \bar{X}_0 = \emptyset$ or $\bar{X}_0 = \emptyset$.

Assume by way of contradiction that there exist $x \in X_0$ and $y \in \bar{X}_0$. Clearly, $xy \notin A(D)$ and so $|N^+(x) \cap N^-(y)| \geq 3$. On the other hand, since $x \in X_0$ and $y \in \bar{X}_0$, we have $N^+(x) \subseteq X$ and $N^-(y) \subseteq \bar{X}$, which implies that $N^+(x) \cap N^-(y) = \emptyset$, a contradiction. Claim 1 follows.

Without loss of generality, assume $X_0 = \emptyset$ and let D_1, D_2, \dots, D_t be an acyclic ordering of the strong components of $D' = D[X]$.

Claim 2. $|X| \geq 3$.

Suppose that $D[X]$ contains no arcs. Then by the definition of restricted arc-cuts, we have $t \geq 2$. For any $y_1 \in V(D_1)$, $y_t \in V(D_t)$, we have $y_t y_1 \notin A(D)$ and so $|N^+(y_t) \cap N^-(y_1)| \geq 3$. On the other hand, since $y_1 \in V(D_1)$ and $y_t \in V(D_t)$, we have $N^-(y_1) \subseteq V(D_1) \cup X$ and $N^+(y_t) \subseteq V(D_t) \cup X$ and so $N^-(y_1) \cap N^+(y_t) \subseteq X$. Therefore, $|X| \geq 3$. Suppose that $D[X]$ contains an arc $x_1 x_2$. Then $|X| \geq 2$. If $|X| = 2$, then $X = \{x_1, x_2\}$ and so $\lambda'(D) = |S| \geq \xi'(x_1 x_2) \geq \xi'(D)$, contrary to the assumption. Therefore, $|X| \geq 3$ again. The proof of Claim 2 is complete.

Claim 3. $D[X]$ contains at least one arc.

Assume by way of contradiction that $D[X]$ contains no arc. Then, by the definition of restricted arc-cuts, we have $t \geq 2$. Suppose that D_1 is trivial and let $V(D_1) = \{y_1\}$. Since D is strong, there exists $x \in X$ such that $x y_1 \in A(D)$. Noting that $\partial^+(x) \cup \partial^-(y_1) \subseteq S$, we have that $\lambda'(D) = |S| \geq \xi'(x y_1) \geq \xi'(D)$, contrary to the assumption. Suppose that D_t is trivial and let $V(D_t) = \{y_t\}$. Since D is strong, there exists $x \in X$ such that $y_t x \in A(D)$. Let $S^* = \partial^+(\{y_t, x\})$. By Lemma 2.1, S^* is a restricted arc-cut of D . For any $x', x'' \in X$, by assumption, we have $|N^+(x') \cap N^-(x'')| \geq 3$, and so $|N^+(x')| \geq 3$. It follows that $|S^*| \leq d^+(x) + |X| - 1 < d^+(x) + 3(|X| - 1) \leq |S|$, which is contrary to the minimality of S . Therefore, both D_1 and D_t are not trivial. This implies that $S' = (V \setminus (V(D_1)), V(D_1)) = (X, V(D_1))$ is a restricted arc-cut of D . Noting that $S' \subseteq S$, it follows that $S' = S$ from the minimality of S . Let $y_t \in V(D_t)$. Then for any $y \in V(D_1)$, we have $y_t y \notin A(D)$. By assumption, $|N^+(y_t) \cap N^-(y)| \geq 3$. Combining this with the fact that $N^+(y_t) \cap N^-(y) \subseteq X$, we have $|N^-(y) \cap X| \geq 3$ and so $|N^-(y) \cap (V \setminus (V(D_1)))| \geq 3$. Since D_1 is non-trivial, there exists an arc $y_1 y'_1 \in D_1$. By Corollary 3.1, D is λ' -optimal, a contradiction completing the proof of Claim 3.

If $X = X_2$, then, by Claim 3 and Corollary 3.1, D is λ' -optimal, a contradiction. So we have

Claim 4. $X_1 \neq \emptyset$.

Let $x_1 \in X_1$ and let $N^+(x_1) \cap \bar{X} = \{y_1\}$.

Claim 5. $\bar{X} \setminus \{y_1\} \subseteq \bar{X}_2$.

By the definition of x_1 , for any $y \in \bar{X} \setminus \{y_1\}$, $x_1 y \notin A(D)$. By assumption, $3 \leq |N^+(x_1) \cap N^-(y)| = |N^+(x_1) \cap N^-(y) \cap X| + |N^+(x_1) \cap N^-(y) \cap \bar{X}| \leq |N^-(y) \cap X| + |N^+(x_1) \cap \bar{X}| = |N^-(y) \cap X| + 1$, which implies that $|N^-(y) \cap X| \geq 2$.

Claim 6. $\bar{X}_0 = \emptyset$.

Since $x_1 \in N^-(y_1) \cap X$, we have $y_1 \notin \bar{X}_0$. By Claim 5, we have $y \in \bar{X}_2$ for any $y \in \bar{X} \setminus \{y_1\}$. Therefore, $\bar{X}_0 = \emptyset$.

Similarly, we have that $|\bar{X}| \geq 3$, $D[\bar{X}]$ contains at least one arc, and

Claim 7. $\bar{X}_1 \neq \emptyset$.

By Claims 5 and 7, we have

Claim 8. $\bar{X}_1 = \{y_1\}$.

Let $x \in X \setminus \{x_1\}$. Then $xy_1 \notin A(D)$. By assumption, $3 \leq |N^+(x) \cap N^-(y_1)| = |N^+(x) \cap N^-(y_1) \cap X| + |N^+(x) \cap N^-(y_1) \cap \bar{X}| \leq |N^-(y_1) \cap X| + |N^-(y_1) \cap \bar{X}| = 1 + |N^-(y_1) \cap \bar{X}|$, which implies that $|N^-(y_1) \cap \bar{X}| \geq 2$. Therefore, there exists $y \in \bar{X}$ such that $yy_1 \in A(D)$. By Corollary 3.1 and Claim 5, D is λ' -optimal, a contradiction. The proof of Theorem 3.2 is complete. \square

Corollary 3.2. (See [7].) *Let G be a λ' -connected graph. If $|N(u) \cap N(v)| \geq 3$ for all pairs u, v of nonadjacent vertices, then G is λ' -optimal.*

Proof. Let $D = D(G)$ be the digraph obtained from G by replacing each edge of G by a pair of two mutually opposite oriented arcs. Then $|N_D^+(u) \cap N_D^-(v)| \geq 3$ for all

pairs of vertices u, v with $uv \notin A(D)$. By Theorem 3.2, $\lambda'(D) = \xi'(D)$. Clearly, $\xi(G) = \xi'(D)$. It was pointed out in the proof of Corollary 2.2 of [11] that $\lambda'(G) = \lambda'(D)$. It follows that $\lambda'(G) = \xi(G)$. The proof is complete. \square

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